

1 **Full chapter for the Volume “Monitoring Sustainable Development Goals in North**
2 **American Cities: Best Practices in Community Planning and Performance Measurement”,**
3 **Section: III Policy & Implementation Focus of SDGs**

4 **Chapter title:** Making the SDGs Relevant for Cities: Using the Community Capital Tool in British
5 Columbia

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11

12 **Abstract**

13 In the past three decades, mounting scientific evidence on the planetary condition has
14 been sending a clear message: the world needs to be on a more sustainable pathway, quickly.
15 Yet effective action has been elusive, partly because the effort to address global challenges
16 needs to start at the local level. The 2015 United Nations Sustainable Development Goals
17 (SDGs) acknowledge the importance of localizing the global goals. The success of the SDGs is
18 conditional on creating and implementing successful, monitorable, and transferable
19 sustainability policies and practices in communities. In this chapter, we present our case studies
20 with two municipalities in British Columbia, Canada, where we applied modified versions of the
21 Community Capital Tool (CCT) and conducted a complex matching and mapping exercise to
22 show the relationship between the SDGs, the CCT, and local goals in the municipalities. We
23 also discuss the challenges and opportunities we identified with regard to achieving local
24 sustainability goals and contributing to the SDGs. The B.C. municipal experience described
25 here demonstrates that if Canadian cities incorporate tools such as the CCT into their regular
26 practice, they can contribute to and become leaders in the achievement of Canada’s Federal
27 Sustainable Development Strategy, Canada’s commitment to the SDGs.

28

29 **Keywords**

30 Sustainable Development Goals; sustainable communities; sustainability monitoring; Canada

31

32 **1. Introduction**

33 The scientific evidence on the Earth’s deteriorating condition – and the urgency to
34 respond with effective action – has been mounting for decades. The increased frequency of

35 extreme phenomena; the persistent poverty, increasing social and economic inequality, and
36 inaccessibility to basic provisions; the decline of ecosystem services; and the unprecedented
37 species extinction are some of the signs that the Earth may soon not be able to sustain the
38 growth of human population and economic activity while maintaining systemic planetary well-
39 being (Daly, 2005; Steffen et al., 2011).

40 From the 1987 UN World Commission on Environment and Development report “Our
41 Common Future” (Brundtland), to the 1992 UN Conference on Environment and Development
42 in Rio, then the 2002 Johannesburg World Summit on Sustainable Development, followed by
43 the 2012 Rio+20 Earth Summit, then the 2015 Sustainable Development Goals (SDGs), the
44 2015 Paris Climate Accord, and most recently the 2017 New Urban Agenda, the message has
45 been loud and clear: the world needs to be on a more sustainable pathway, quickly, if we are to
46 have any hope of a sustainable future. Yet effective action, as well as political will, has been
47 elusive. One reason for this is because these global challenges must be addressed at national
48 and local levels.

49 In this chapter, we present our case studies with two municipalities in British Columbia,
50 Canada, where we applied modified versions of the Community Capital Tool (or CCT, detailed
51 below) and conducted a complex matching and mapping exercise to show the relationship
52 between the SDGs, the CCT, and local goals in the municipalities. We also discuss the
53 challenges and opportunities we identified with regard to achieving local sustainability goals and
54 contributing to Canada’s commitments towards the UN Global Goals.

55

56 2. Sustainable Development globally

57 The 1987 Brundtland Commission report noted the interconnectedness between human
58 activity and environmental degradation: 26% of the world’s population, living in developed
59 countries, consumed 80-86% of non-renewable resources and 34-53% of food products
60 (WCED, 1987). The increased frequency of extreme phenomena and the persistent social and
61 ecological issues such as poverty and decline of ecosystem services have led a growing
62 number of scholars to refer to the modern period as “the Anthropocene”. This is defined as the
63 era marked by the detrimental impact of human activity on the planet (Steffen et al., 2011). We
64 no longer live in an “empty world” (empty of us and our waste), but rather in a “full” one (Daly,
65 2005), with significant implications and repercussions for current and future generations.

66 The necessity for limits to growth, initially expressed in the 1970s is now strongly
67 supported by up-to-date research on planetary boundaries that have been exceeded, such as
68 genetic diversity and climate change (Steffen et al., 2015; Hamstead & Quinn, 2005; Meadows,

69 Meadows, & Randers, 1992),. Current generations now have both the knowledge and the
70 responsibility to lead humanity away from putting further pressure on the planet and toward a
71 safer and more sustainable future (Rockström, 2009; Steffen et al., 2011).

72 In this spirit, in 2000, the UN Member States adopted the *Millennium Declaration*
73 aspiring to eradicate extreme poverty and reduce inequalities, with a particular focus on
74 developing countries; the Global North would mostly contribute to development aid and
75 financing. The Millennium Development Goals (MDGs) were composed of 8 goals, 21 targets,
76 and 60 indicators, and encouraged action by a broad range of stakeholders in an effort to
77 address the multi-dimensional issue of extreme poverty by 2015.

78 Several of the goals were achieved in the 15-year period in the developing world, with
79 notable decreases in extreme poverty, child and maternal mortality, and disease rates, and
80 rising rates of primary school enrollment and of life expectancy (United Nations, 2015c). Severe
81 problems however persisted in areas such as sub-Saharan Africa and South Asia, because of
82 the extensive slums and limited access to fresh water, sanitation, and medicines. The MDGs
83 were generally criticized as vague, disconnected from a whole-system view, difficult to measure
84 (partly due to data insufficiency), and potentially causing further inequality in urban areas
85 (Harcourt, 2005; Meth, 2013).

86 Building partly on the achievements of the MDGs but mainly acknowledging the
87 continuing struggles in social, economic, and ecological systems around the world, the
88 Sustainable Development Goals (SDGs) were unanimously and ceremoniously approved by the
89 UN Member States in September 2015 (United Nations, 2015b). The UN 2030 Agenda for
90 Sustainable Development, which includes the 17 Global Goals (SDGs) and 169 concrete
91 targets, is a significant step forward and a turning point for global sustainability.

92 Despite the long consultation and negotiation process (more than three years), the initial
93 promoters of an inclusive agenda (Colombia, Guatemala, Peru and United Arab Emirates)
94 achieved their objective: that the SDGs address *sustainable* development, and not simply
95 development (often confused with growth) like their predecessors, the MDGs (Dodds,
96 Donoghue, & Leiva Roesch, 2017). The new goals offer a more integrated vision and plan for
97 this millennium: they apply to both developed and developing nations; and they are grounded in
98 a holistic, systemic view of sustainability (Woodbridge, 2015). The acknowledgement that the
99 principal global challenges, this century (ecological integrity, social equity and cohesion, and
100 economic prosperity), need to be addressed in a holistic way is also reflected in the 2015 UN
101 Climate Change agreement (United Nations, 2015a) and in the UN New Urban Agenda (United
102 Nations, 2017).

103 Achieving the 17 SDGs with their 169 targets and numerous associated indicators is a
104 complex undertaking that must be addressed at numerous scales from global to local. In
105 response, we have framed our research to focus on the full set of SDGs at the local scale as a
106 way to address, monitor, and achieve the SDGs nationally and globally.

107

108 **3. Developing and monitoring sustainable communities**

109 Since the negotiations stage, people and organizations involved in the SDGs
110 development process stressed the importance of localizing the global goals (Dodds et al.,
111 2017). The success of the SDGs is conditional on creating and implementing successful,
112 monitorable, and transferable sustainability policies and practices in communities. We posit that
113 a predominantly bottom-up or “community-up” approach is crucial for the SDGs to gain wide
114 traction, engage citizens and other stakeholders, and ultimately succeed in turning sustainability
115 into the new modus operandi globally, within this century.

116 ***Developing sustainable communities***

117 Our research is situated in the field of sustainable development in local communities,
118 with particular focus on urban areas, which are projected to be home to at least 68% of the
119 world’s population by 2050 (UN DESA, 2018). For our research purposes, a community refers to
120 “a group of people bound by geography and with a shared destiny, such as a municipality or a
121 town”, and is considered as a complex, adaptive, and interconnected system requiring
122 interdisciplinary study (Uphoff, 2014; Roseland, 2012). An urban area is “a human settlement
123 characterized – ecologically, economically, politically and culturally – by a significant
124 infrastructural base; a high density of population, whether it be as denizens, working people, or
125 transitory visitors; and what is perceived to be a large proportion of constructed surface area
126 relative to the rest of the region”(James, 2015).

127 Cities occupy 3-4% of the world’s land surface, use ~80% of resources, and discharge
128 most global waste while being increasingly vulnerable to climate change and health challenges
129 linked to high economic and environmental costs (Kanuri, Revi, Espey, & Kuhle, 2016;
130 Girardet, 2015). The latest global urbanization projections for 2050 and the accumulation of
131 challenges in cities prove the urgency of developing local solutions to global (or “glocal”) issues.
132 Cities have enormous productivity potential in terms not only of economic and labor productivity
133 (diverse and inclusive economy, fostering innovation), but also of social productivity (hubs of
134 research, learning, and sharing) and ecological productivity (ecological function regeneration
135 and efficient use of resources) (Roseland & Spiliotopoulou, 2017).

136 The full set of the SDGs is relevant to local communities even though the UN Global
137 Agenda for 2030 includes a goal specifically for cities; goal 11 for inclusive, safe, resilient, and
138 sustainable cities and human settlements (Kanie et al., 2014). Achieving long-term sustainability
139 locally requires a focus on all goals, not just goal 11, in order for societal change through
140 collaborative decision-making and community engagement to occur, as the principles of
141 sustainable community development (SCD) so urge (Clarke, 2012; Hermans, Haarmann, &
142 Dagevos, 2011). SCD is a holistic approach that integrates social, environmental, and economic
143 considerations into the dynamic processes toward community sustainability, while providing for
144 current and future generations (Berke & Conroy, 2000; Roseland, 2012).

145 SD and SCD have been influenced by a number of theories and have matured over the
146 last few decades in academic, professional, and popular discourse. While SCD may be a fairly
147 new paradigm for local development, it is rooted in such intellectual traditions of the previous
148 two centuries as social ecology, bio-regionalism, native worldviews, ecological modernization,
149 self-reliance, eco-localism, environmental justice, etc. (Roseland, 2000; Roseland &
150 Spiliotopoulou, 2016). More recently, SCD has embraced strong sustainability principles which
151 acknowledge the Earth's regenerative limits and the need for socio-ecological and economic
152 resilience "across temporal and spatial scales" (Meerow, Newell, & Stults, 2016; (Daly, 2005;
153 Rockström et al., 2009).

154 Under the strong sustainability model, social and ecological considerations are
155 increasingly being included in community analysis and policy-making through collaborative and
156 systemic processes. Several parallels can thus be drawn between this comprehensive paradigm
157 for local development and the UN 2030 Agenda for Sustainable Development. These include
158 the long-term and whole-systems perspective, the recognition of the dynamic nature of socio-
159 ecological systems, and the potential to reveal opportunities for synergies and indirect positive
160 impact among the various dimensions and goals for sustainability.

161 ***Monitoring sustainable communities***

162 In pursuit of the balanced and integrated approach that SCD and the SDGs advocate,
163 communities are challenged by the difficulties of addressing multiple objectives and monitoring
164 their progress while setting priorities at a higher-level of decision-making. They face the
165 complexity of sustainability goal-setting and the challenge of navigating the variety of local
166 agendas grounded in diverse theoretical backgrounds or stakeholder interests (Roseland &
167 Spiliotopoulou, 2017). They also need to meaningfully engage citizens in a broad range of
168 decision-making processes and collect data efficiently and consistently to allow for effective

169 progress monitoring and assessment (Caprotti et al., 2017; Moreno Pires, Magee, & Holden,
170 2017).

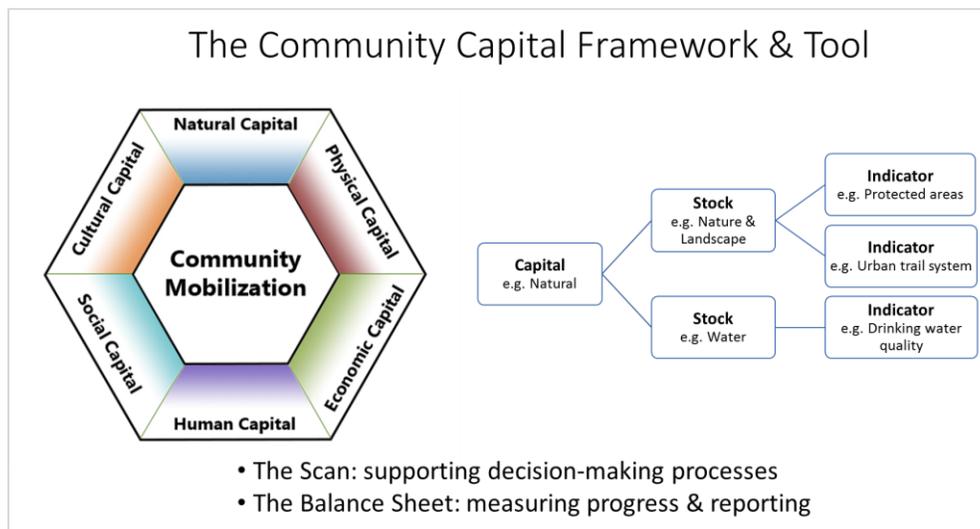
171 One way to address these challenges is by adopting sustainability planning and
172 assessment frameworks and tools that inform and mobilize citizens and their governments. The
173 assessment of plans through sustainability frameworks is considered an effective tool that
174 follows implementation in order to gauge success and measure performance in ecological,
175 social, and economic terms (Roseland, 2012). Despite the abundance of tools and agendas, not
176 all of them promote a whole-systems approach or assist in concrete implementation and
177 effective monitoring (Joss et al., 2015). Successful SCD monitoring and assessment entails
178 tackling issues such as stakeholder engagement, place-specific context, political credibility, and
179 adoption of a shared and practical vision.

180 The research foundation of the pilot projects we present here is the Community Capital
181 Framework (CCF). Its purpose is to support decision-making not only as a planning toolkit but
182 also as a performance and progress assessment instrument. The CCF has been designed with
183 a systems thinking perspective: each form of community capital is a sub-system of the larger
184 whole community system. Since the early 2000s, we have used the CCF in various communities
185 (big, small, rural, urban, developed, developing) around the world with success (e.g. in North
186 America, Latin America, and Eastern Europe).

187 Built upon the CCF, the Community Capital Tool (CCT) is an SCD monitoring and
188 assessment tool and the product of collaboration between the Centre for Sustainable
189 Development at Simon Fraser University in Canada, with Telos, the Brabant Center for
190 Sustainable Development, Tilburg University, Netherlands (Roseland, 2012). In this context, the
191 term “Community Capital” includes natural, physical, economic, human, social, and cultural
192 forms of capital (see also figure 1 below) (Roseland, 2012):

- 193 1. *Natural Capital*: Living within ecological limits, conserving and enhancing natural
194 resources, using them sustainably, using cleaner production methods, and
195 minimizing waste.
- 196 2. *Physical Capital*: Community assets such as public facilities, water and sanitation
197 provision, efficient transport, diverse housing, adequate infrastructure, and
198 telecommunications.
- 199 3. *Economic Capital*: Circulating money within a community, producing locally, trading
200 fairly, and developing community financial institutions.

- 201 4. *Human Capital*: Focus on health (including food, shelter, and safety), education,
 202 family and community cohesion, and enhanced training and improved workplace
 203 dynamics.
- 204 5. *Social Capital*: Effective and representative local governance, participatory planning,
 205 access to information, capacity-building, safety, and collaboration and partnerships.
- 206 6. *Cultural Capital*: Attention to traditions and values, heritage and place, the arts,
 207 diversity, and local history.



208
 209 **Figure 1.** Community Capital: A Framework and Tool for Sustainable Community Development.
 210 Adapted from: Roseland, 2012.

211

212 The Tool’s six capitals are broken down into a set of smaller stocks (or categories) used
 213 to measure capital capacity and sustainability progress. These stocks are universal and were
 214 chosen based on their ability to accurately represent the health of each capital. Within each
 215 stock is a set of requirements that are adaptable to the local context, needs, and priorities of the
 216 community or the context of the specific initiative being measured. Lastly, the status of each
 217 requirement is ‘indicated’ by one or more specific, measurable indicators. The CCT then shows
 218 the final results as graphics that report on the health of each capital account and each of their
 219 constituent stocks.

220 Community leaders, planners and citizens can use this information to compare the
 221 current sustainability status of their community with past results and potentially with other
 222 comparable communities. The CCT was designed based on strong sustainability principles that
 223 advocate for the preservation of adequate amounts of all natural assets while avoiding terminal
 224 damage to critical natural assets and consciously seeking to address key social issues. It

225 focuses on community-specific issues in a way that recognizes each community’s regional and
226 global impact on the environment and on society at large. The CCT is intended to incorporate
227 the democratic input of citizens in terms of values and priorities, and provides planners and
228 decision-makers with a tool that helps them ensure that these values and priorities are reflected
229 in policy decisions (Roseland, 2012).

230 In the case studies presented here, we also consulted several other sustainability
231 assessment frameworks. These frameworks contributed to our improved understanding of this
232 field and played an important role in shaping the CCT for the two municipalities we worked with
233 (see more details in the next section). These sustainability frameworks are (in no particular
234 order): the UN Sustainable Development Goals, STAR Communities (recently merged with
235 LEED for Cities), One Planet Living (or Eco Communities), ISO 37120, Community Foundations
236 of Canada Vital Signs, Green City Index, Living Community Challenge, the EU Reference
237 Framework for Sustainable Cities, LEED-ND and LEED for Cities, EcoDistricts, the International
238 Eco-City framework and standards, and the City Resilience Index.

239 With regard to the SDGs in particular, we were able to demonstrate through our case
240 studies that the CCT is very much aligned with the SDG framework. As we will explain in detail
241 below, the CCT is structured in a similar way to the SDGs – they both have three levels of
242 forward-looking decision-making (goals, targets, and indicators) – and their indicators overlap by
243 more than 50%.

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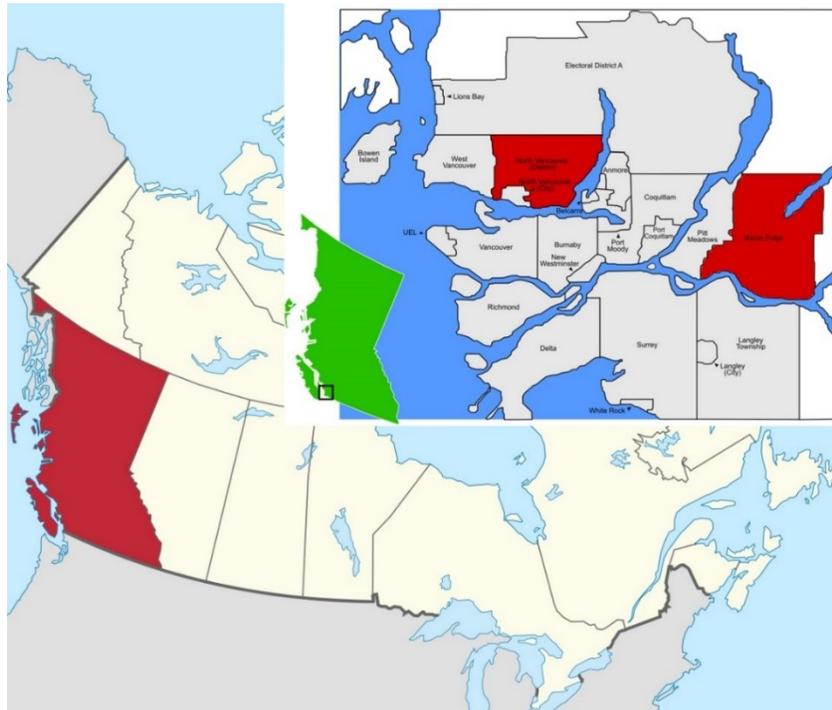
245 **4. Research methodology and context**

246 In this research, we engaged a mixed-methods, information-oriented approach within
247 case study research, integrating quantitative and qualitative data collection and analysis
248 techniques and tools (van Kerkhoff, 2014; Yin, 2014). For reasons of funding¹ and focus, we
249 decided to work with two communities in the Lower Mainland of British Columbia: the City of
250 Maple Ridge and the District of North Vancouver. Whereas some communities may see the
251 SDGs as either irrelevant to or in conflict with local priorities, we partnered with two cities that
252 approached us² and demonstrated interest in participating in our research in order to enhance
253 their sustainability planning and performance assessment processes, while exploring common
254 ground with the SDGs.

¹ Please refer to the end of this chapter for a disclosure statement regarding the funding for this project.

² We were approached by and collaborated with the Community Planning Department in The District of North Vancouver and the Sustainability and Corporate Planning Department in the City of Maple Ridge.

255 The main objective of this research was to help the two municipalities (figure 2) achieve
256 their stated visions by providing them with a sustainability assessment framework that would be
257 relevant to their needs and values, while connecting them to a broader context. The customized
258 integrated framework would support City Council, staff, citizens, and other community
259 stakeholders in effectively identifying community needs, allocating funds, implementing policies
260 and programs, and measuring results, from a long term perspective.



261
262 **Figure 2**, showing the province of British Columbia and, in the inset, our two case study
263 municipalities. Images by [TUBS / CC BY-SA 2.5](#), and by [TastyCakes / CC BY 3.0](#).

264
265 ***Case studies context - The District of North Vancouver (DNV)***

266 As one of three municipalities on the North Shore of Metro Vancouver, the District of
267 North Vancouver (DNV) shares key infrastructure (roads and utilities) and in some cases
268 partners in the delivery of services (recreation and emergency services). Its natural assets
269 define the local lifestyle and values, and the industrial waterfront, a strategic national asset,
270 provides significant business opportunities and local jobs. A growing community with two First
271 Nations reserves, the District considers collaborative planning essential to the achievement of
272 its long-term goals.

273 The DNV Official Community Plan (OCP)³, titled “Identity 2030”, presents the DNV’s
274 vision for an “inclusive and supportive community that celebrates its rich heritage and lives in
275 harmony with nature” and that has a “network of well designed and livable centres” and “resilient
276 and diverse” local businesses (District of North Vancouver, 2011). Our project with the District
277 was carried out in 2018 and aimed to help achieve this vision by adding to the monitoring and
278 reporting work of the Community Planning Department and the Official Community Plan
279 Implementation Monitoring Committee 2017-2018. Our other objective, inspired by how cities
280 like San Jose and Baltimore localized the SDGs, was to compare the District’s goals and
281 indicators to the SDGs and their targets and indicators and to make recommendations on how
282 to address gaps identified.

283 ***Case studies context - The City of Maple Ridge (CMR)***

284 Located 45 kilometers east of Vancouver, Maple Ridge is a family-oriented community
285 and one of the fastest growing cities in Metro Vancouver. It has a vibrant local economy and the
286 most affordable industrial land and real estate in the region. It is committed to becoming a
287 sustainable community by considering the environmental, social, and economic impacts of its
288 actions for present and future generations. The City of Maple Ridge (CMR) Official Community
289 Plan lays out the city’s long-term vision for a “vibrant and prosperous [community, with] a strong
290 local economy, stable and special neighbourhoods, thoughtful development, a diversity of
291 agriculture, and respect for the built and natural environment” (City of Maple Ridge, 2014).

292 As with our other case study, the main objective of the Maple Ridge project carried out in
293 2017 was to help the City achieve this vision by assessing current sustainability and providing
294 the City and its citizens with a customized sustainability assessment framework. Although the
295 City of Maple Ridge did not at the time explicitly express interest in aligning their goals with the
296 SDGs or taking advantage of the SDG framework in a specific way, we nevertheless used the
297 SDG framework in the project reported in this chapter.

298

299 ***Research methodology***

300 Within a mixed-methods approach, we started working on the case studies by examining
301 the related literature and particularly exploring the current arena of sustainability frameworks,
302 tools, and best practices. We reviewed a significant number of sustainability monitoring and
303 assessment frameworks worldwide as well as initiatives and best practices for planning and

³ Under British Columbia’s Local Government Act, municipalities and regional districts are encouraged to develop an Official Community Plan (OCP) that provides a long-term vision for the community. An OCP is “a statement of objectives and policies that guide decisions on municipal and regional district planning and land use management.” (Province of British Columbia, n.d.)

304 assessment in other communities in Canada and beyond. As mentioned above, the SDGs and
305 other frameworks and tools helped inform the adjustment of the Community Capital Tool for the
306 two case studies.

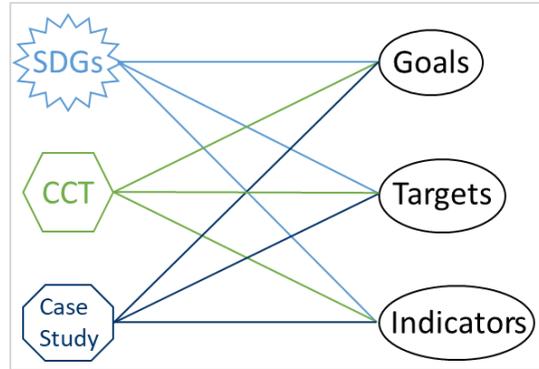
307 We then studied the socio-economic, environmental, political, and cultural context in the
308 City of Maple Ridge (CMR) and the District of North Vancouver (DNV), and collected some
309 quantitative data to evaluate the capacity of each city to source reliable and timely sustainability
310 data and to establish an initial picture of their current sustainability situation. This quantitative
311 data was retrieved from various archival sources and was measured against specific set goals
312 and targets found in policy and other community plans and documents. Sources included
313 Statistics Canada, BC Stats (provincial statistics authority), BC Assessment (provincial authority
314 for property assessment), BC Hydro (provincial electricity utility), local health authorities, and
315 CMR or DNV databases.

316 In parallel, we conducted a complex SDG-CCT-Local Goals matching and mapping
317 exercise, modeled on the work done in San Jose, New York, and Baltimore within the USA
318 Sustainable Cities Initiative (USA-SCI), under the guidance of the Sustainable Development
319 Solutions Network (SDSN) (Nixon, 2016; Prakash et al., 2017). As shown in figure 3, the
320 mapping extended along three levels of decision-making within three frameworks: we compared
321 the goals, targets and indicators of the SDGs, the CCT, and the two case studies⁴.

322 For the SDG mapping task, we followed the first two steps of the process described by
323 Ruckstuhl, Espey, & Rae (2018) and the steps in Mesa, Edquist, & Espey (2019), although we
324 conducted this work before these documents were made available. Step 1 was policy and target
325 mapping and step 2 was identifying appropriate metrics and data sources. Our overall objective
326 was to assess existing policy goals and targets, identify gaps and needs, and offer customized
327 policy and metrics recommendations that would help align local and global goals. It is this part
328 of the research project that is presented in this chapter in detail.

329 We first studied the official community plans and other major policy and strategy
330 documents to locate local goals and targets and identify core values and principles. To complete
331 this first step, we compared local goals and targets with the SDGs and their targets and with the
332 CCT capitals and stocks. We excluded SDG 17 on Global Partnerships as not applicable at the
333 local level and context. We then compiled lists of existing sustainability and other performance
334 indicators in the two cities and compared them with the CCT and the SDG indicators.

⁴ An SFU Master of Resource Management Planning student, Daniel Ross, was also involved in this part of the DNV project (Ross, 2018).



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Figure 3. The extensive mapping of the two cities’ goals, targets and indicators with the SDGs and the CCT. The shape of the SDG and the CCT frameworks reflects the number of goals (or capitals) included in each, excluding SDG 17 on global partnerships.

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In addition, we collected qualitative data through interviews, meetings, and workshops with key stakeholders in both municipalities. We engaged elected officials (Councillors), appointed officials (city senior management and expert staff), and community members through the North Shore Community Foundation and the Maple Ridge Community Foundation⁵.

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A series of meetings with key staff provided us with valuable perspectives on various aspects of localizing sustainability indicators; we met with departments such as Community Planning, Parks, Public Works, Economic Development, Information Technology, Engineering, and Emergency Services (Fire and Police). Through these meetings, the subject-matter experts largely contributed to our understanding of indicator contextual meaningfulness, policy jurisdiction, data availability, data sources, existing targets, municipal capacity, etc.

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In the DNV, we also engaged with the 2017-2018 Official Community Plan Implementation Monitoring Committee (OCP IMC) which is composed of community members and whose purpose is to provide comments on OCP implementation (consistency of vision, goals, and actions), monitoring (ensuring meaningful and appropriate indicators), and communication with the public.

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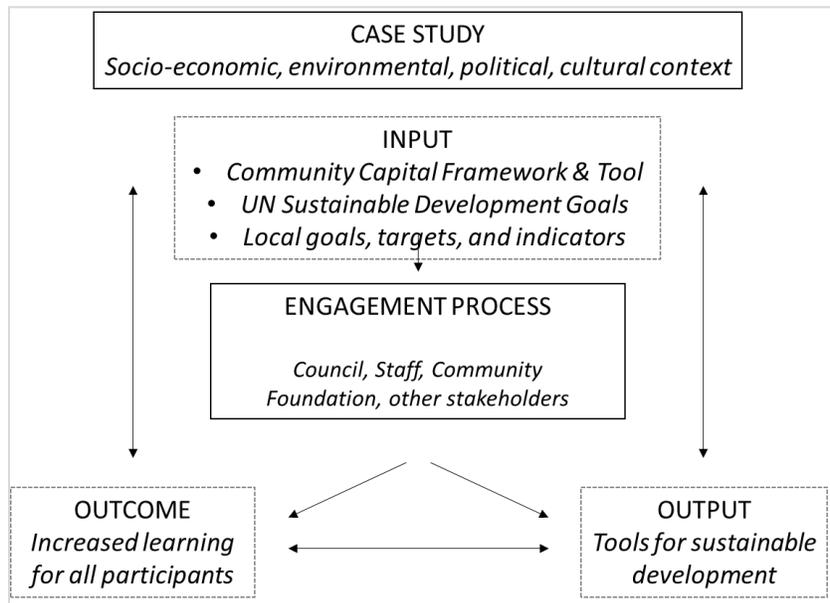
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In total, we conducted 14 interviews in the DNV and 16 in the City of Maple Ridge, we consulted more than 20 subject-matter expert staff in each municipality, and we engaged more than 40 community members in workshops with the two community foundations and the OCP IMC. Thanks to this inclusive participatory process, we had the opportunity to explore and identify perceptions of community stakeholders on needs and gaps, and document their

⁵ Community foundations manage private endowments to provide local projects with funding for initiatives that benefit the community

360 preferences and ideas regarding the linkages between global and local sustainable
 361 development, assessment tools, and visions for the future; we also received their direct
 362 feedback for our work on sustainability frameworks. Figure 4 illustrates the methodological
 363 model of the participatory process used in in both case studies.



364
 365 **Figure 4.** Contextual and methodological model of participatory process, adapted from
 366 Hermans, Haarmann, & Dagevos, 2011.

368 5. Research findings

369 In our case studies in the Greater Vancouver area, we applied sustainability assessment
 370 methods and tools to support the DNV and the City of Maple Ridge increase their sustainability
 371 potential and identify synergies with the SDGs. This section presents, firstly, the research
 372 findings from the mapping of local goals, targets, and indicators with the SDG framework and
 373 the Community Capital Framework and Tool, and, secondly, our findings from analysing the
 374 interviews with focus on connections between global and local agendas.

375 **Goals, targets, and indicators mapping**

376 Following the examples of New York, San Jose, and Baltimore, we performed a complex
 377 mapping and alignment exercise in the DNV and in the CMR (Nixon, 2016; Prakash et al.,
 378 2017). We compared the higher-level goals of both cities with the SDGs, their targets (or
 379 “stocks” in the case of the CCT) with the SDG targets, and their – at the time current –
 380 indicators with the SDG and the CCT indicators.

381 With regard to the DNV, through this work we observed that the 8 major goals or
 382 objectives in the DNV’s Official Community Plan were aligned with 7 SDGs fully or quite

383 extensively, as well as with all 6 capitals of the CCT. Emphasis in the DNV is mostly placed on
 384 issues of economic growth and well-being, protection of the natural environment, affordability,
 385 food security, and education infrastructure investment. As shown in table 1, SDGs 3, 8, 9, 10,
 386 11, 14, and 15 were fully covered by the DNV’s goals; SDGs 1, 2, 4, 6, and 13 were partly
 387 covered; and for some SDGs (5, 7, 12, and 16) there was no explicit mention in the DNV OCP
 388 goals. SDG 17 was considered not applicable. Given the OCP’s objective to guide the DNV
 389 toward a “Sustainable Future” by 2030, the wide alignment between local and global goals
 390 seems to indicate that sustainability principles and aspirations are important to the DNV and its
 391 citizens.

392 In CMR, our findings were somewhat similar to those in DNV. The Maple Ridge OCP
 393 includes a long-term vision statement and 45 principles that were approved following extensive
 394 citizen and stakeholder consultation. The OCP and other major policy documents mostly
 395 emphasize SDGs 2, 8, 11, and 15, while being partly aligned with SDGs 3, 4, 6, 13, and 16
 396 (table 1). The CMR mapping analysis demonstrated a specific focus on food security, education
 397 infrastructure investment, and making the city resilient in preparation for climate change
 398 impacts. Unlike the DNV goal alignment though, it is clear that the higher-level goals in Maple
 399 Ridge are not aligned with SDGs that promote innovation and industrial – or generally economic
 400 – infrastructure and action for inequalities reduction. It makes sense however that the CMR has
 401 not placed importance on goals or targets related to SDG 14 (Life below water), since Maple
 402 Ridge is not by the ocean and therefore ocean and marine life protection are not within the city’s
 403 priorities.

404

SDG	DNV Goal alignment	CMR Goal alignment
1: No Poverty	Indirect match / Partly aligned (affordability & well-being)	No match / Not aligned
2: Zero Hunger	Indirect match / Partly aligned (food security)	Direct match / Fully aligned
3: Good Health and Well-being	Direct match / Fully aligned	Indirect match / Partly aligned (social services)
4: Quality Education	Indirect match / Partly aligned (education infrastructure)	Indirect match / Partly aligned (education infrastructure)
5: Gender Equality	No match / Not aligned	No match / Not aligned
6: Clean Water and Sanitation	Indirect match / Partly aligned (stormwater management)	Indirect match / Partly aligned (sensitive area protection)
7: Affordable and Clean Energy	No match / Not aligned	No match / Not aligned

8: Decent Work and Economic Growth	Direct match / Fully aligned	Direct match / Fully aligned
9: Industry, Innovation and Infrastructure	Direct match / Fully aligned	No match / Not aligned
10: Reduced Inequality	Direct match / Fully aligned	No match / Not aligned
11: Sustainable Cities and Communities	Direct match / Fully aligned	Direct match / Fully aligned
12: Responsible Consumption and Production	No match / Not aligned	No match / Not aligned
13: Climate Action	Indirect match / Partly aligned (GHGs & renewable energy)	Indirect match / Partly aligned (various related objectives)
14: Life Below Water	Direct match / Fully aligned	No match / Not aligned
15: Life on Land	Direct match / Fully aligned	Direct match / Fully aligned
16: Peace and Justice Strong Institutions	No match / Not aligned	Indirect match / Partly aligned (inclusiveness)
17: Partnerships to achieve the Goals	Not applicable	Not applicable

405

406 **Table 1.** Level of alignment between the SDGs and the higher-level goals of the District of North
 407 Vancouver and those of the City of Maple Ridge. Red color shows no alignment, orange shows
 408 indirect or partial alignment, and green shows direct or full alignment.

409

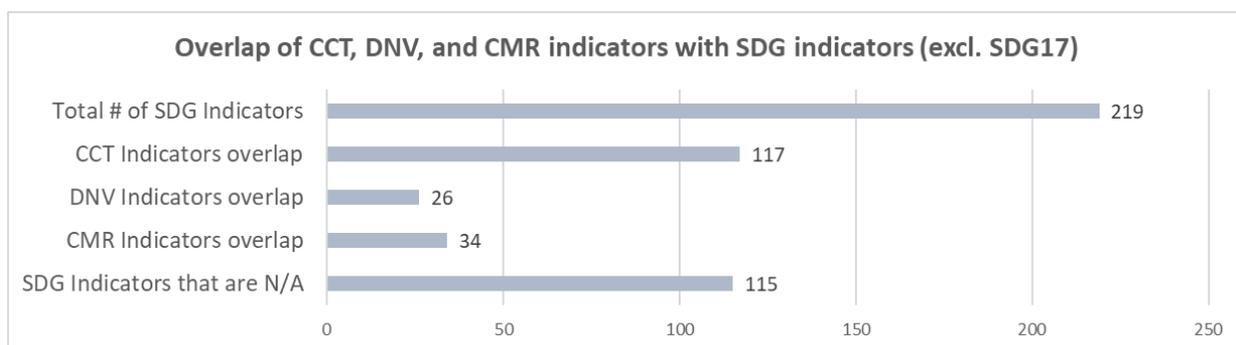
410 At the target level, we found out that although the DNV’s higher-level policy documents
 411 contained a lot of recommendations and broad statements for the future, very few seemed to
 412 correspond to actionable, measurable targets. We identified 20 targets in the DNV OCP and
 413 other major policy documents, such as the Transportation Plan, the Parks and Open Spaces
 414 Strategic Plan, the Rental and Affordable Housing Strategy, the Climate Change Adaptation
 415 Strategy, and the Integrated Stormwater Management Strategy. These 20 targets corresponded
 416 to 18 (out of 169) SDG targets that are related to SDGs 1, 2, 3, 6, 8, 9, 10, and 11. In CMR, the
 417 picture is similar: we identified 10 targets in the OCP, the Parks, Recreation and Culture Plan,
 418 and the Environmental Management Strategy. These 10 targets correspond to only 5 SDG
 419 targets which are part of SDGs 6, 11, and 12.

420 Despite the partial alignment at the goals level, the result from the indicators mapping
 421 was different, as shown in figure 5. DNV’s 26 indicators monitor progress of OCP goal
 422 implementation and range from urban growth management and park/open spaces to economic
 423 development, transportation, and climate action (District of North Vancouver, 2011). These 26

424 indicators covered only 11.9% of the SDG indicators. We excluded 115 SDG indicators that
 425 were deemed not applicable in the District context since the SDG framework is mainly oriented
 426 towards countries. However, even after excluding those 115 SDG indicators, DNV indicators
 427 still covered only 25% of the remaining SDG indicators that were applicable. In contrast, the
 428 CCT indicators pool overlaps with the SDG indicators by more than 53%.

429 The City of Maple Ridge indicators mapping, on the other hand, is consistent with DNV
 430 results. CMR measures progress and performance across 69 indicators⁶ ranging from energy
 431 efficiency and transportation safety and accessibility to municipal finances and emergency
 432 services efficiency (City of Maple Ridge, n.d.). There is a 15.5% overlap between CMR and
 433 SDG indicators if we take all 219 SDG indicators into consideration, but the overlap percentage
 434 increases to 32.7% if we do not include the 115 SDG indicators that we considered not relevant
 435 or applicable in the CMR context (figure 5).

436



437
 438 **Figure 5** demonstrates the extent to which existing indicators in DNV, CMR, and CCT overlap
 439 with and address SDG indicators (excluding SDG 17 on global partnerships and showing the
 440 115 SDGs that were considered as “not applicable”).

441

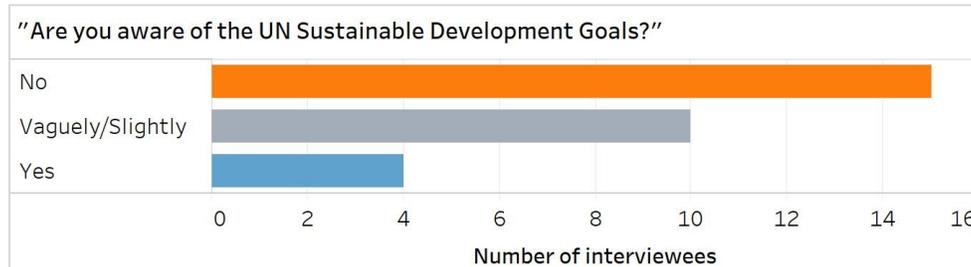
442 ***Interview findings***

443 The interviews analysis through the SDG lens involved two sets of data: (1) mixed
 444 quantitative and qualitative data in response to a question on SDG awareness and familiarity,
 445 and (2) entirely qualitative data in response to the open-ended question on perceptions of
 446 impact of the SDGs on local decision-making and other perceptions regarding glocal-local
 447 connections.

448 . The majority of those interviewed were either not aware of the existence of the SDGs (50% of
 449 interviewees) or could vaguely recall having heard of them (30% of interviewees) (figure 6).

⁶ The City of Maple Ridge calls its indicators “scorecards”.

450 Most responses were a simple “yes” or “no” but some contained additional comments.
451 Particularly the interviewees recalling the SDGs “vaguely” or “slightly” commented that they
452 could not cite the SDGs or that they did not have “in-depth awareness” and they were not really
453 familiar with the details of the UN Agenda for Sustainable Development.



454
455 **Figure 6.** Number of interviewees responding “yes”, “no”, or “vaguely / slightly” when asked
456 about their own awareness of the UN SDGs.

457
458 Responses to the second question on perceptions of SDG impact on local decision-
459 making and on other linkages between the global and local levels yielded an extensive amount
460 of qualitative data. A common view amongst interviewees was that any global goals or
461 international commitments would probably not have a high influence on local politics and
462 processes. While talking about this viewpoint, some interviewees attributed it to the perception
463 that global agendas are disconnected from the local context and local beliefs, and thus cannot
464 be taken into consideration in local policy-making.

465 Overall, three broad themes – or rather problems – emerged from the analysis of the
466 30 interviews as far as the potential for SDG impact is concerned: the difficulty of ensuring
467 widespread awareness and education on non-local matters; the issues caused by a complex
468 public administration system involving multiple and interdependent levels of government; and
469 the lack of accountability due to the usually non-binding nature of international agreements.

470 Regarding awareness and education about the global goals and their impact, we
471 identified a number of issues. Firstly, a few participants seemed to confuse the SDGs with other
472 intergovernmental treaties or declarations, for instance with the Paris climate agreement or
473 other United Nations reports or protocols. Also, some participants who admitted not being
474 familiar with the SDGs argued that international goals and agreements are in conflict with local
475 goals and priorities. One interviewee mentioned that asking local governments who face serious
476 problems (hunger, poverty, lack of clean water) to think or act globally can be perceived as
477 distraction. That said, several participants felt that it was strange that they did not know of the

478 SDGs although they had at some point been involved in sustainability projects or in international
479 processes.

480 The second recurrent theme in the interviews was the position that the complexity of
481 local decision-making processes is a crucial factor for the local governments not embracing the
482 SDGs. Most interviewees talked about the challenges that accompany this complexity and the
483 limited jurisdiction of local governments in Canada or, particularly in B.C., the lack of local
484 jurisdiction in some matters. These participants mainly referred to the constant struggle of local
485 governments to secure funding from higher-level jurisdictions and the limited mandate local
486 governments are given from provincial or federal legislation especially when it comes to
487 important issues such as education, transportation, energy, and – to some extent – housing.
488 Two interviewees concluded their argument on the difficulties of the multiple levels of
489 government by describing local governments as “creatures of the province”⁷.

490 A third SDG-related theme that surfaced throughout the interviews concerned the
491 (usually low) level of accountability or obligation that is attached to the SDGs – or any other
492 international agreements for that matter. The majority of interviewees expressed the belief that
493 local governments are removed or distanced from the obligation the federal government has to
494 achieve the SDGs and report on them by 2030. Some pointed out that local governments feel
495 that they are more accountable to their citizens than to any national or international
496 organizations.

497 At least a quarter of the participants considered the SDGs, the Paris climate agreement,
498 and other international agreements as purely “aspirational”. Some argued that setting global or
499 local goals can be beneficial but it is inadequate when implementation is accompanied by little
500 or no accountability. Lack of accountability in this case means that municipalities have no legal
501 obligation, they may receive no mandate or funding to achieve the goals, and therefore they do
502 not face any real consequences if goals are not met.

503 On a more positive note it is worth highlighting that about one third of participants were
504 explicitly in favor of embracing national or global goals and ensuring their increased impact on
505 local decision-making. They suggested that local governments should try to align more with
506 global goals which can provide some framework and foster connection to a wider – national and
507 even global – context. In a few interviews it was stated that the SDGs can present an
508 opportunity for local governments to receive funding and other resources to achieve their local
509 community goals. Similarly, it was argued that high-level goals such as the SDGs can help

⁷ This is indeed the case in B.C. Unlike in the US for example, local governments in Canada are in fact and in law “creatures of the province” they are located in.

510 inform local decision-making and action, mainly by pointing to best practices and opportunities
511 for learning.

512 Overall, our research findings indicate that there is low awareness around the UN
513 Agenda for Sustainable Development and that recognition of the significance of the SDGs at the
514 local level is progressing at a slow pace. The SDGs and other international agreements, despite
515 being non-binding, present some opportunities that are being slowly acknowledged in Canadian
516 communities, often by municipal staff at first and then by elected officials who in general may
517 not be that immersed in international developments. As one interviewee concisely put it,
518 municipalities are not likely to be "driven" by the SDGs or compelled to achieve them, but
519 perhaps may use them if they need support to achieve their local goals.

520

521 **6. Generalizations**

522 For this study we performed a complex SDG-Local Goals mapping exercise, similar to
523 those undertaken in New York, San Jose, and Baltimore, and compared the goals, targets, and
524 indicators of the SDGs, the municipalities, and our own Community Capital Tool. We also
525 documented policy gaps and stakeholder perceptions, and asked whether global agendas
526 influence local decision-making.

527 Mapping the CMR and DNV OCPs and other master plans with the SDGs and the CCT
528 was not an easy task because of the multiplicity of official documents in the two municipalities:
529 official community plans, various sectoral plans, business plans, community sustainability plans,
530 climate action plans, etc. Some high-level policy documents overlapped, whereas in some
531 cases the OCP predated newer plans and this resulted in goal and target tracking difficulties
532 and occasional inconsistencies (Ross, 2018). This mapping task however revealed significant
533 gaps in policies and objectives in both case studies, such as low consideration of wider national
534 or global context, fragmented prioritization in policy-making and implementation, and little
535 attention to whole-systems integrated thinking.

536 The interview data offered similarly important insights, particularly into the perceptions of
537 local elected and appointed officials about global-level goals and international commitments.
538 What seems as a simultaneously interconnected and distanced relationship between the
539 multiple levels of government in B.C. provides a telling argument for the lack of interest or
540 comprehension of the UN global agenda. The analysis also revealed a misconception that
541 "localizing the SDGs" absolutely requires full awareness, or even in-depth understanding, of
542 global issues and problems in other parts of the world.

543 A viewpoint expressed by some interviewees is that the SDGs could be an opportunity
544 for local governments to receive funding and other resources from higher levels of government
545 and could offer an engaging way of approaching the potential of the SDGs. The SDGs could
546 help initiate change at the local level even if they are perceived as an intermediate means to
547 achieve a community's overarching goal, i.e. high quality of life and well-being for its citizens.

548 These findings are a strong indication of the imperative to inform and educate local
549 governments and their citizens about the SDGs so that the latter hold the former accountable for
550 local, national, and global commitments. Thanks to their versatile structure, the SDGs can equip
551 communities with a broad and holistic framework for all levels of decision-making, from
552 identifying core values, setting goals, and forming partnerships to inclusive implementation and
553 assessment (Mesa et al., 2019).

554

555 **7. Recommendations**

556 In accordance with the above findings, our recommendations to both municipalities
557 revolved around a customized comprehensive framework with a set of forward-looking and
558 holistic-thinking indicators based on the SDGs and our research with the CCT. As mentioned
559 above, the CCT conceptualizes communities as place-oriented, scalable, dynamic systems, and
560 is rooted in a framework that considers effects on six mutually-reinforcing forms of capital:
561 natural, physical, economic, human, social, and cultural. The Tool includes two complementary
562 instruments: (1) the Community Capital Scan, a dialogue- and decision-support tool, and (2) the
563 Community Capital Balance Sheet, a more rigorous quantitative assessment tool. Both are
564 grounded in a whole-systems, integrated thinking and are structured in a very similar way to the
565 SDGs.

566 The integration of the adapted version of the CCT in the two B.C. cities' decision-making
567 processes can significantly help them achieve their sustainability goals while becoming
568 ambassadors for SDG implementation in Canada and beyond. The Tool is a good fit to help
569 localize the SDGs in all stages of the decision-making and monitoring process using a
570 contextually-relevant approach: firstly by expanding awareness about the global goals and
571 increasing stakeholder participation, transparency, and perception of accountability; then by
572 facilitating long-term goals setting and development of detailed, short-term implementation
573 actions; and finally by supporting a locally-focused but globally-looking process of monitoring
574 progress, reporting, and evaluating.

575 To mobilize action toward implementing and monitoring the SDGs locally, the CCT can
576 help local officials and citizens align their goals with each other and with the full set of the SDGs

577 while achieving effective synergies and efficiencies between goals and actions. The CCT can
578 offer the policy roadmap and the data and visualization platform required to plan for
579 sustainability, monitor progress, and operationalize the SDGs in the holistic and systemic spirit
580 the SDGs themselves promote.

581 The B.C. municipal experience described here demonstrates that if Canadian cities
582 incorporate tools such as the CCT into their regular practice, they can contribute to and become
583 leaders in the achievement of Canada's Federal Sustainable Development Strategy which
584 reflects Canada's commitment to the SDGs (Roseland & Spiliotopoulou, 2018). We have every
585 reason to expect that tools and approaches such as the CCT could work as well in other
586 countries. Given the scale of the global sustainability challenges before us, developing these
587 scalable and integrated local solutions may indeed provide a much-needed reason for hope.
588

589

590

591

592 **Disclosure statement:** The authors are grateful that part of the work that contributed to this
593 chapter was funded by Mitacs Accelerate⁸ (project numbers IT09978 and IT09305).

594

595

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⁸ Mitacs is a national, not-for-profit organization that builds partnerships to support research and training for industrial and social innovation in Canada. Mitacs Accelerate supports the development of research projects that benefit both graduate students or post-docs and partner organizations. For more information: <https://www.mitacs.ca/en/programs/accelerate>.

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